Chapter 1

Computer Abstractions and Technology

The Computer Revolution

- Progress in computer technology
  - Underpinned by Moore's Law
- Makes novel applications feasible
  - Computers in automobiles
  - Cell phones
  - Human genome project
  - World Wide Web
  - Search Engines
- Computers are pervasive

«the number of transistors in an IC doubles every two years»

Moore, G.E., *Cramming more components onto integrated circuits*. Electronics, 38(8), April 1965

Gordon E
Moore
(1929–)

Classes of Computers

- Personal computers
  - General purpose, variety of software
  - Subject to cost/performance tradeoff

- Server computers
  - Network based
  - High capacity, performance, reliability, dependability
  - Range from small servers to building sized

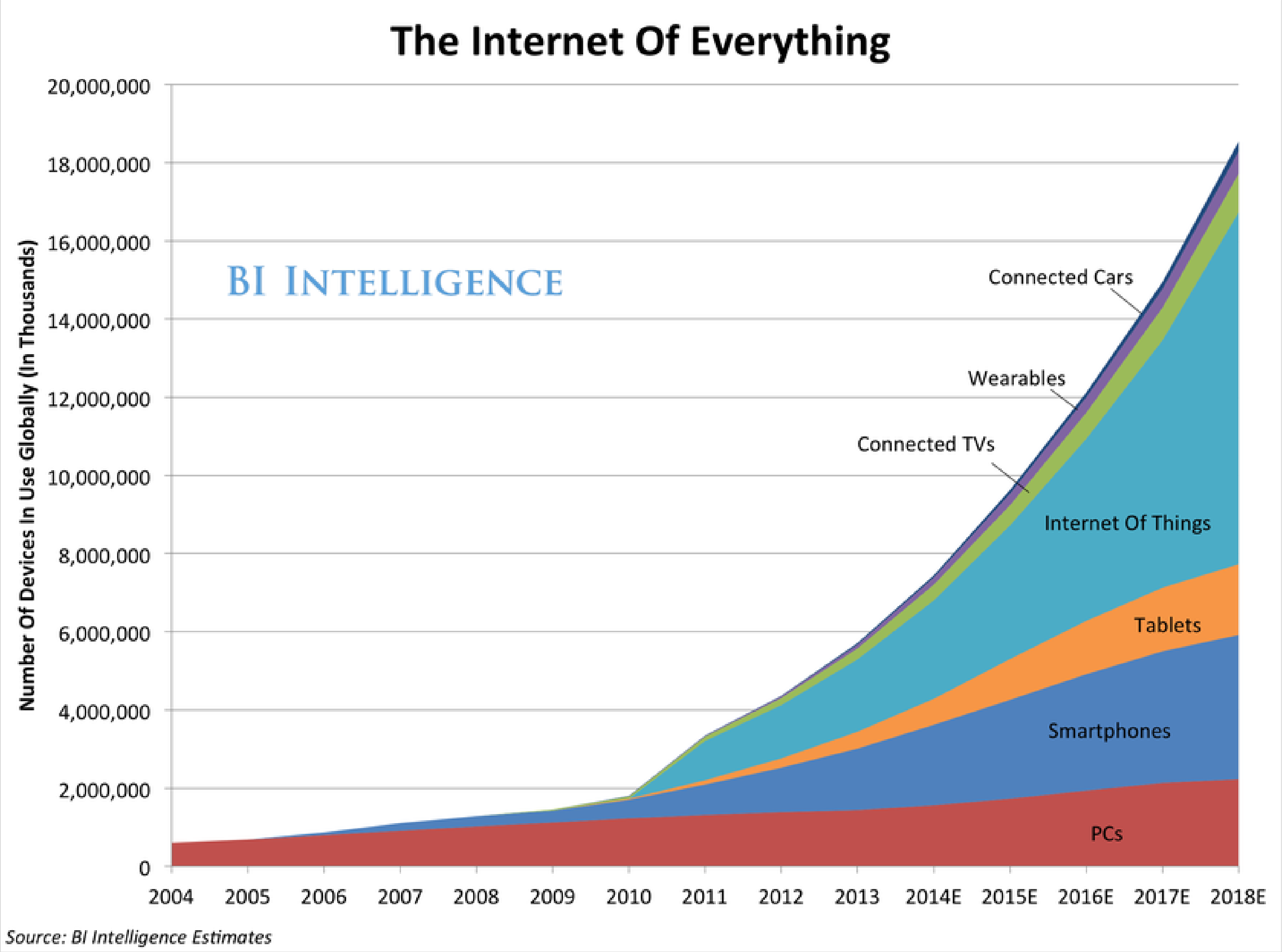
Classes of Computers

- Supercomputers
  - High-end scientific and engineering calculations
  - Highest capability
    - represented a small fraction of the overall computer market, but share is increasing…
- Embedded computers
  - Hidden as components of systems
  - Stringent power/performance/cost constraints
  - Real-time and dependability requirements

The PostPC Era

- Personal Mobile Device (PMD)
  - Battery operated
  - Connects to the Internet
  - Hundreds of dollars
  - Smart phones, tablets, electronic glasses
- Cloud computing
  - Warehouse Scale Computers (WSC)
  - Software as a Service (SaaS)
  - Portion of software run on a PMD and a portion run in the Cloud
  - Amazon, Microsoft, Google

The PostPC Era



Source: BI Intelligence Estimates

Data Center

Microsoft Data Center eastern US - 2017

Getting bigger

Planned expansion: 2km long…

Motivation for Course

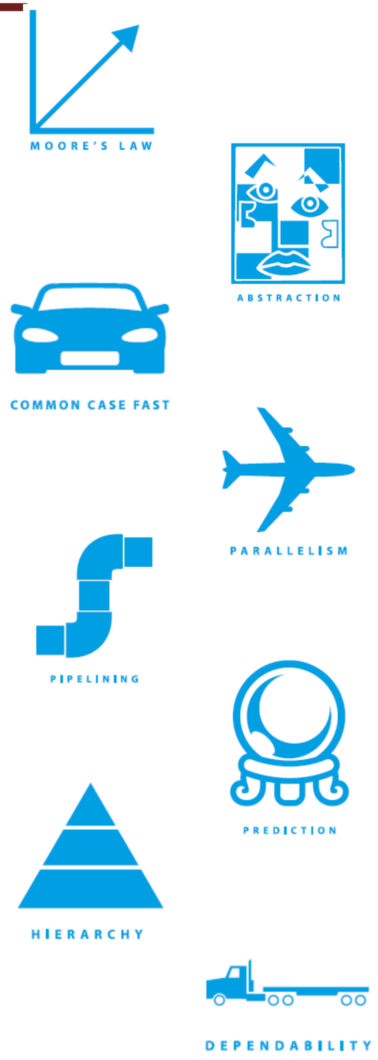
- In short…
- Compute systems are pervasive and ubiquitous in all aspects of our everyday's life
- The study of how computers are architected and programmed is fundamental in a world (and a market) that is dominated by such technology

What You Will Learn

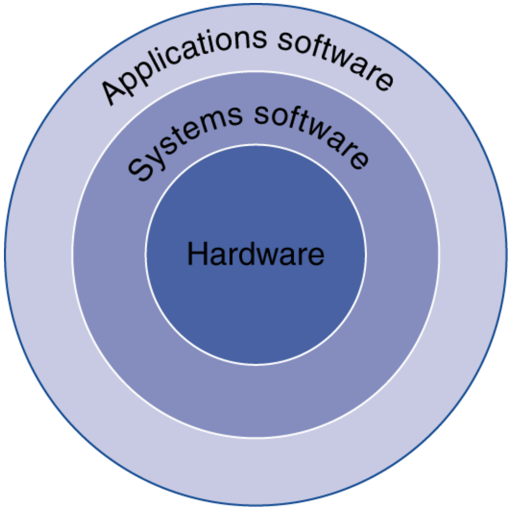
- The compute abstraction
  - From logic circuits to CPUs
- The hardware/software interface
  - The instruction set architecture (ISA)
- How programs are translated into the machine language
  - And how the hardware executes them
- What determines program performance
  - And how it can be improved
- How hardware designers improve performance
- What is parallel processing

Eight Great Ideas

- Design for **Moore's Law**
- Use **abstraction** to simplify design
- Make the **common case fast**
- Performance via **parallelism**
- Performance via **pipelining**
- Performance via **prediction**
- **Hierarchy** of memories
- **Dependability** via redundancy



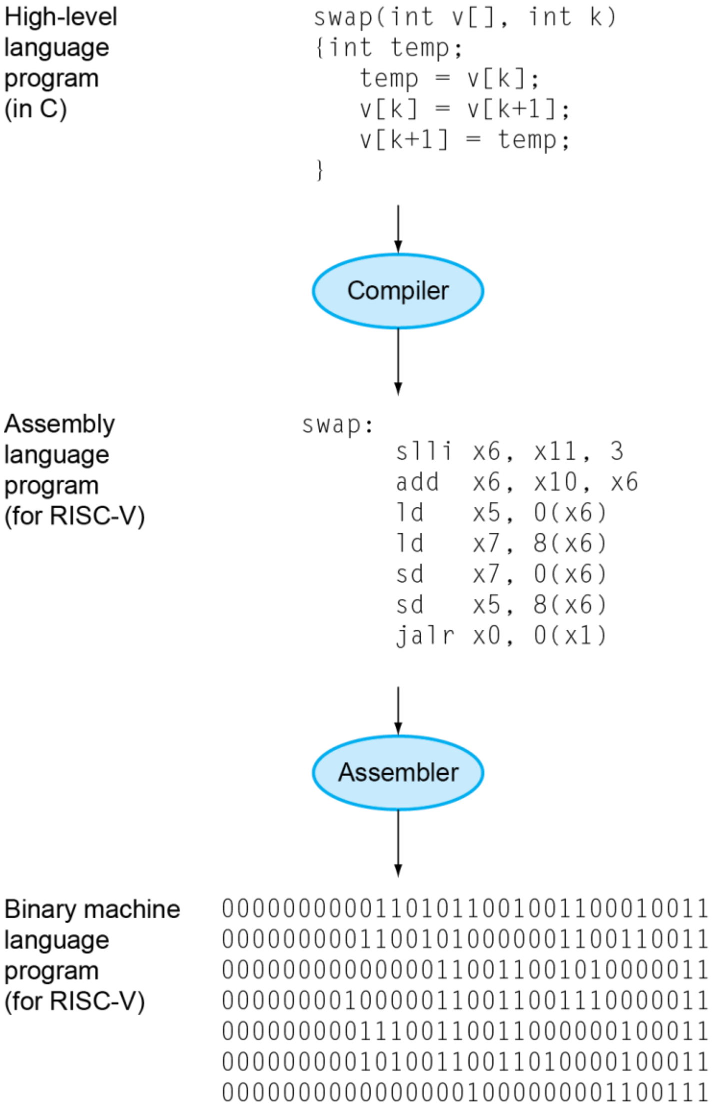
Below Your Program



- Application software
  - Written in high-level language
- System software
  - Compiler: translates HLL code to machine code
  - Operating System: service code
    - Handling input/output
    - Managing memory and storage
    - Scheduling tasks & sharing resources
- Hardware
  - Processor, memory, I/O controllers

Levels of Program Code

- High-level language
  - Level of abstraction closer to problem domain
  - Provides for productivity and portability
- Assembly language
  - Textual representation of instructions
- Hardware representation
  - Binary digits (bits)
  - Encoded instructions and data



High-level language program (in C)

```
swap(int v[], int k)
{int temp;
   temp = v[k];
   v[k] = v[k+1];
   v[k+1] = temp;
}
```

Assembly language program (for RISC-V)

```
swap:
      slli x6, x11, 3
      add  x6, x10, x6
      ld   x5, 0(x6)
      ld   x7, 8(x6)
      sd   x7, 0(x6)
      sd   x5, 8(x6)
      jalr x0, 0(x1)
```

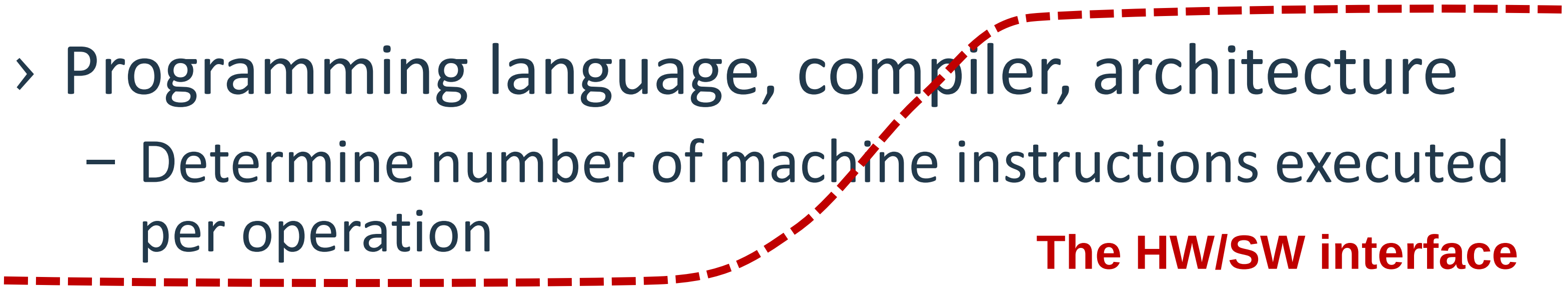
Binary machine language program (for RISC-V)

```
00000000001101011001001100010011
00000000011001010000001100110011
00000000000000110011001010000011
00000000100000110011001110000011
00000000011100110011000000100011
00000000010100110011010000100011
00000000000000001000000001100111
```

Understanding Performance

What determines the performance of a program?

- Algorithm
  - Determines number of operations executed

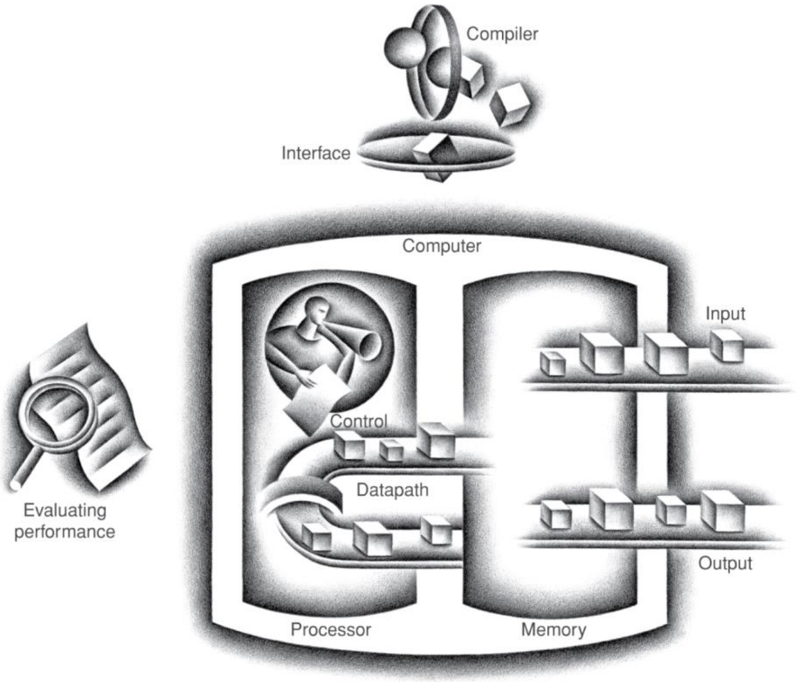


- Programming language, compiler, architecture
  - Determine number of machine instructions executed per operation

The HW/SW interface

- Processor and memory system
  - Determine how fast instructions are executed
- I/O system (including OS)
  - Determines how fast I/O operations are executed

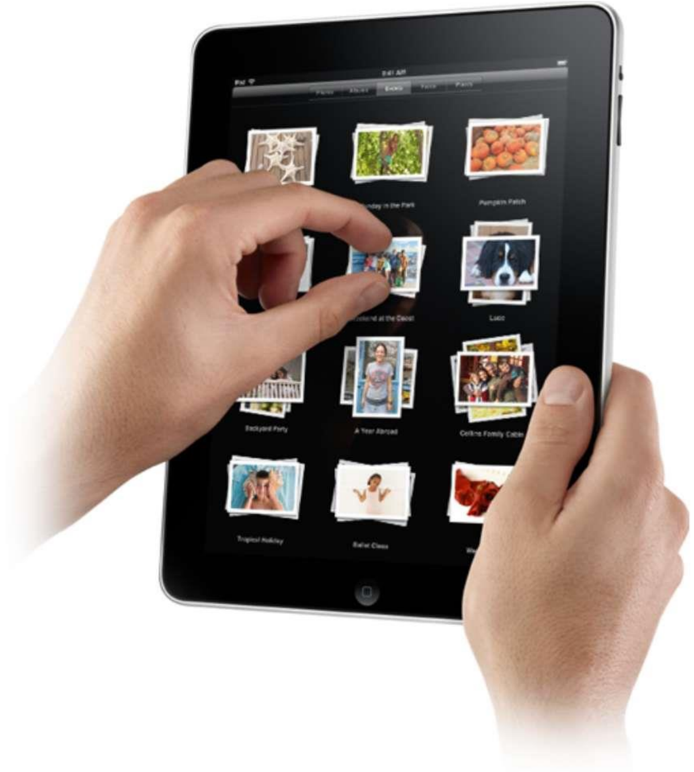
Components of a Computer



- Same components for all kinds of computer
  - Desktop, server, embedded
- Input/output includes
  - User-interface devices
    - Display, keyboard, mouse
  - Storage devices
    - Hard disk, CD/DVD, flash
  - Network adapters
    - For communicating with other computers

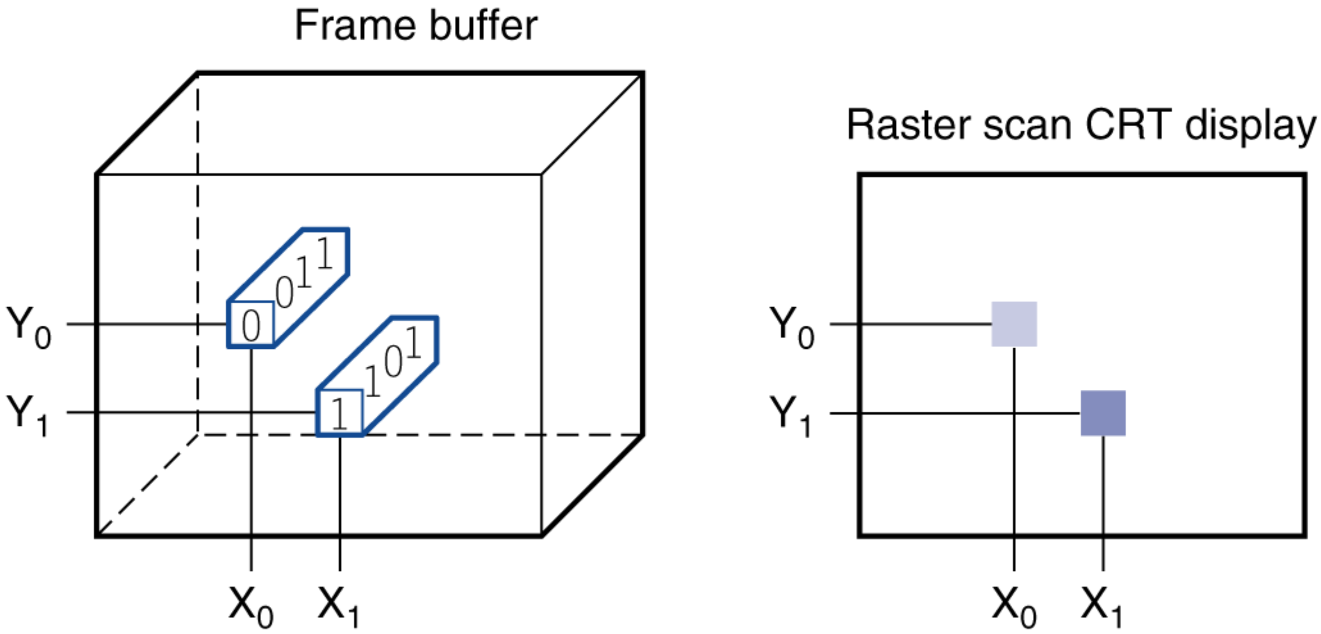
Touchscreen

- PostPC device
- Supersedes keyboard and mouse
- Resistive and Capacitive types
  - Most tablets, smart phones use capacitive
  - Capacitive allows multiple touches simultaneously



Through the Looking Glass

- LCD screen: picture elements (pixels)
  - Mirrors content of frame buffer memory

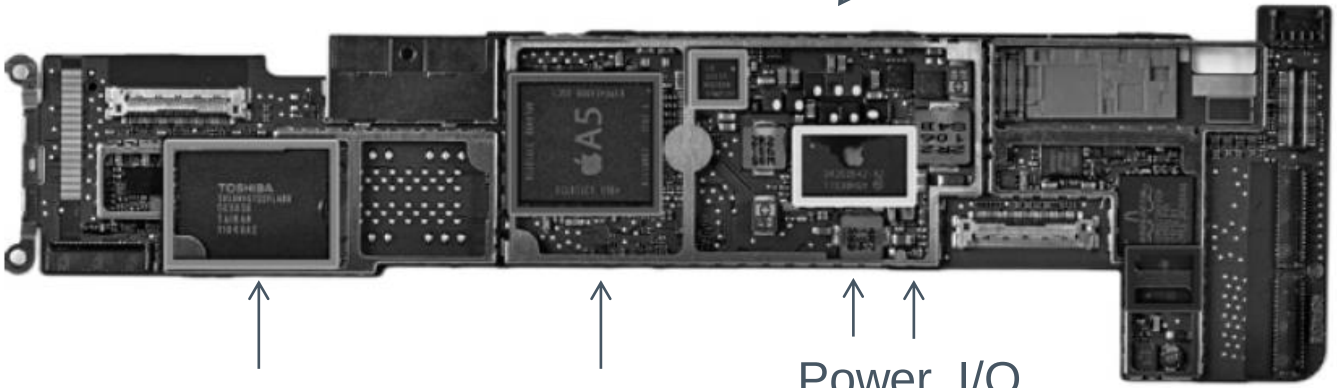


Opening the Box

I/O: capacitive multitouch LCD screen, front/rear camera, microphone, headphone jack, speakers, accelerometer, gyroscope, Wi-Fi, Bluetooth

Battery

Computer board



32 GB flash

Apple A5

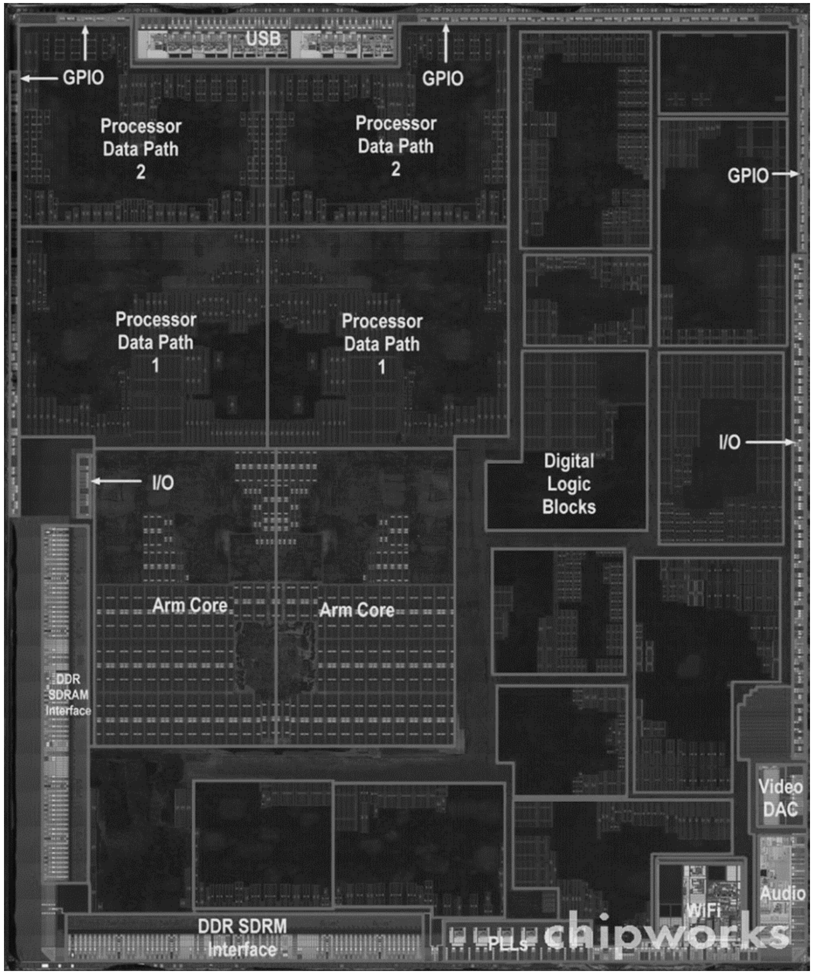
Power, I/O controllers

Inside the Processor (CPU)

- Datapath: performs operations on data
- Control: sequences datapath, memory, ...
- Cache memory
  - Small fast SRAM memory for immediate access to data
  - SRAM is faster but less dense, and hence more expensive, than DRAM

Inside the Processor

- Apple A5



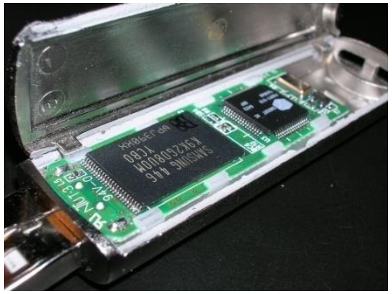
- 12.1 by 10.1 mm
- 45nm technology
- 2xARM @ 1GHz
- PowerVR GPU
- 512 MiB DRAM

Abstractions

- Abstraction helps us deal with complexity
  - Hide lower-level detail
- Instruction set architecture (ISA)
  - The hardware/software interface
- Application binary interface
  - The ISA plus system software interface
- Implementation
  - The details underlying and interface

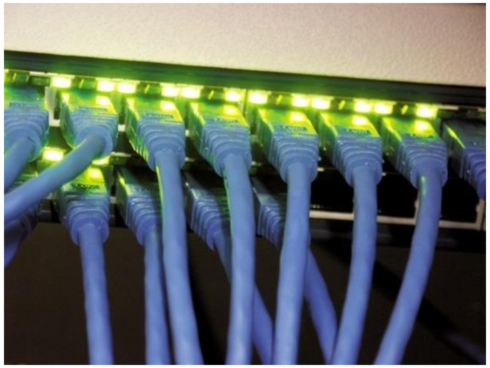
A Safe Place for Data

- Volatile main memory
  - Loses instructions and data when power off
- Non-volatile secondary memory
  - Magnetic disk
  - Flash memory
  - Optical disk (CDROM, DVD)



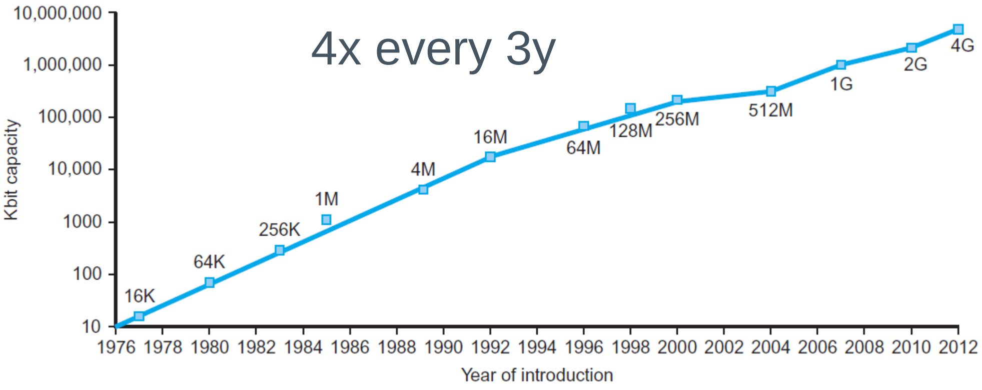
Networks

- Communication, resource sharing, nonlocal access
- Local area network (LAN)
  - Ethernet (10/100 Gbit/s)
- Wide area network (WAN): the Internet
- Wireless network (IEEE 802.11)
  - WiFi, Bluetooth → 1-100 Mbit/s



Technology Trends

- Electronics technology continues to evolve
  - Increased capacity and performance
  - Reduced cost



4x every 3y

DRAM capacity

Year	Technology	Relative performance/cost
1951	Vacuum tube	1
1965	Transistor	35
1975	Integrated circuit (IC)	900
1995	Very large scale IC (VLSI)	2,400,000
2013	Ultra large scale IC	250,000,000,000

Semiconductor Technology

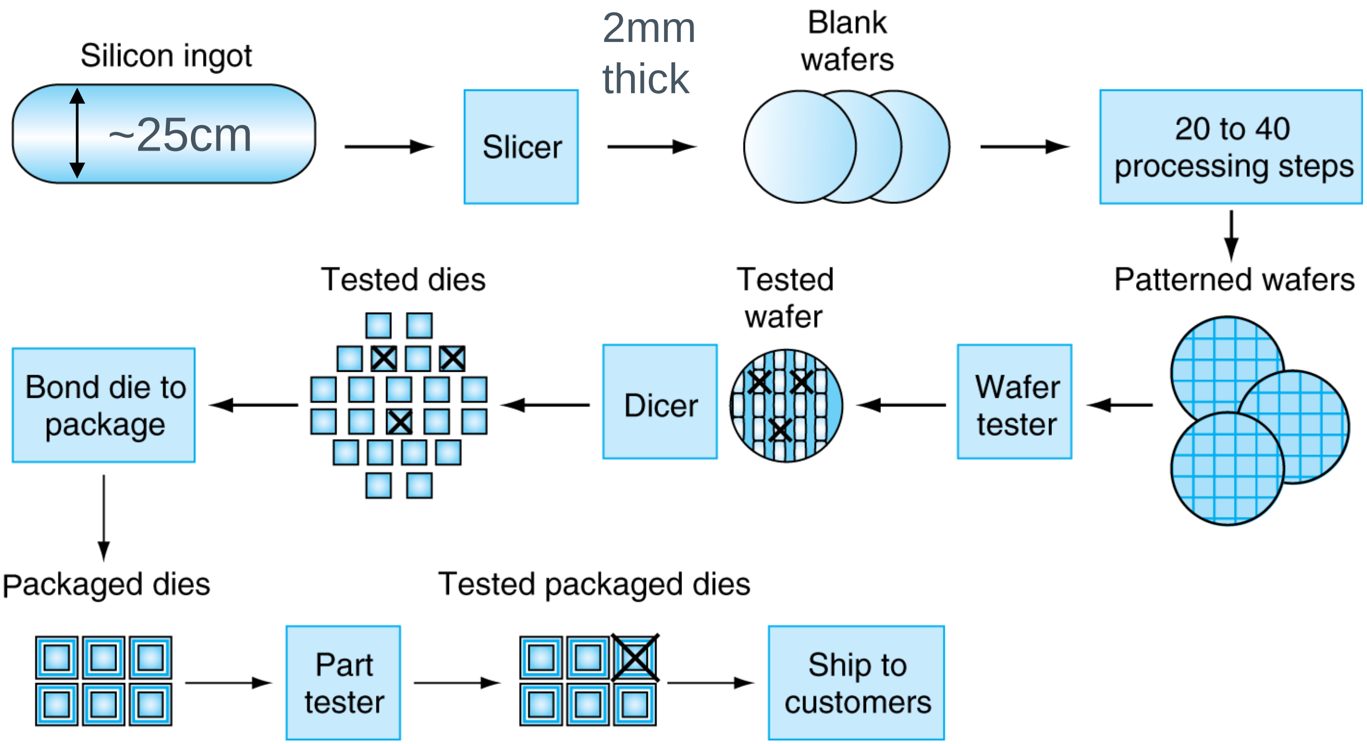
- Silicon: semiconductor
- Add materials to transform properties:
  - Conductors
    - microscopic copper or aluminum wire
  - Insulators
    - plastic sheathing or glass
  - Switch
    - Transistor

Atom size is 20-200 pm

Semiconductor Manufacturing Process

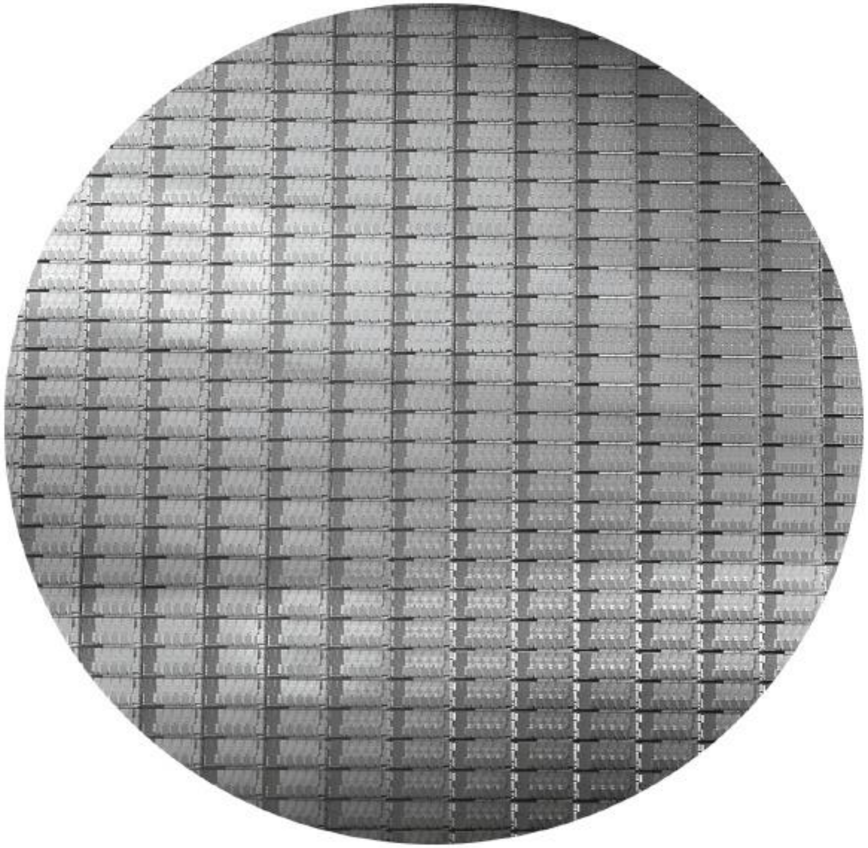
10 µm – 1971
6 µm – 1974
3 µm – 1977
1.5 µm – 1982
1 µm – 1985
800 nm – 1989
600 nm – 1994
350 nm – 1995
250 nm – 1997
180 nm – 1999
130 nm – 2001
90 nm – 2004
65 nm – 2006
45 nm – 2008
32 nm – 2010
22 nm – 2012
14 nm – 2014
10 nm – 2017
7 nm – *~2019*
5 nm – *~2021*

Manufacturing ICs



- One layer of transistors and 2-8 levels of metal conductor, separated by layers of insulators
- Yield: proportion of working dies per wafer

Intel Core i7 Wafer



- 300mm wafer, 280 chips, 32nm technology
- Each chip is 20.7 x 10.5 mm

Integrated Circuit Cost

$$\text{Cost per die} = \frac{\text{Cost per wafer}}{\text{Dies per wafer} \times \text{Yield}}$$

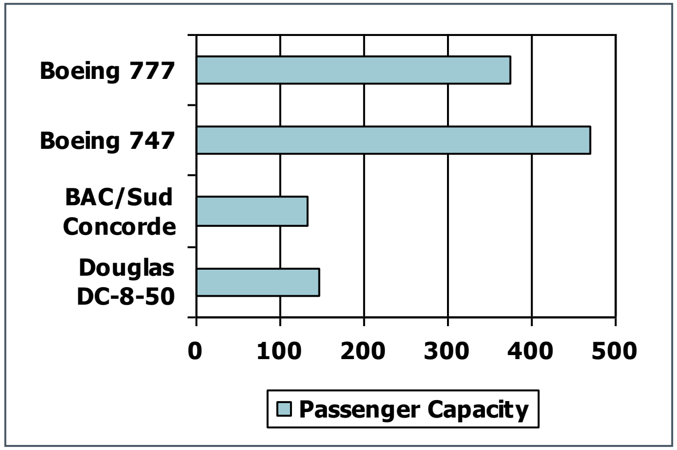
$$\text{Dies per wafer} \approx \text{Wafer area}/\text{Die area}$$

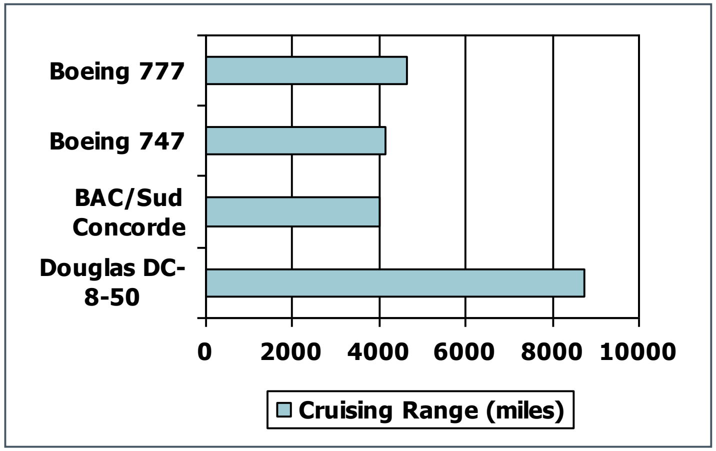
$$\text{Yield} = \frac{1}{(1 + (\text{Defects per area} \times \text{Die area}/2))^2}$$

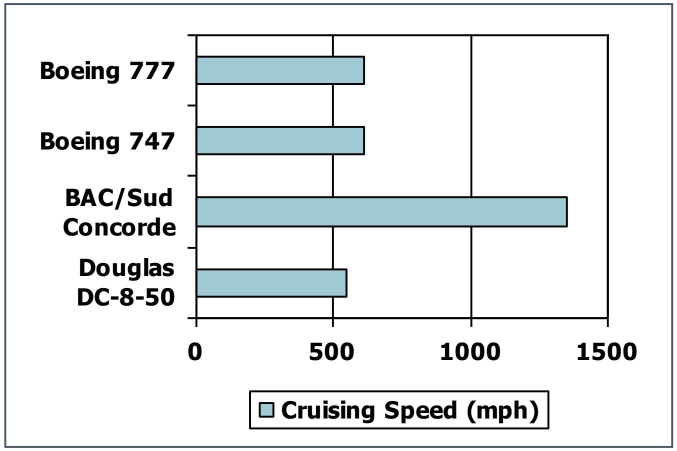
- The cost of an integrated circuit rises quickly as the die size increases, due both to the lower yield and to the fewer dies that fit on a wafer.
- Nonlinear relation to area and defect rate
  - Wafer cost and area are fixed
  - Defect rate determined by manufacturing process
  - Die area determined by architecture and circuit design

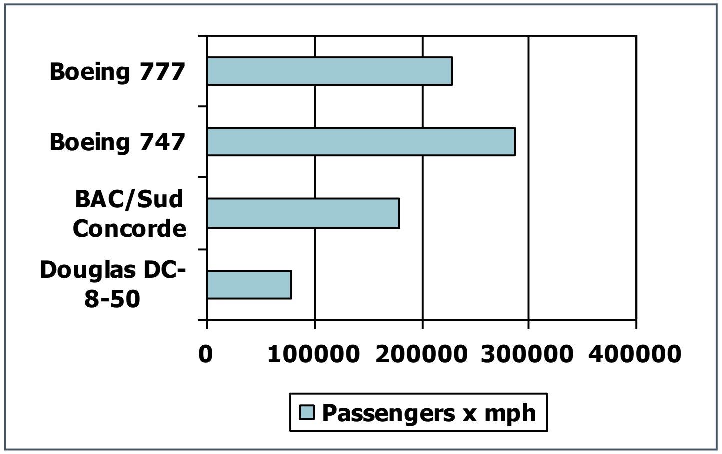
Defining Performance

- Which airplane has the best performance?









Response Time and Throughput

- Response time
  - How long it takes to do a task
- Throughput
  - Total work done per unit time
    - e.g., tasks/transactions/… per hour
- How are response time and throughput affected by
  - Replacing the processor with a faster version?
  - Adding more processors?
- We’ll focus on response time for now…

Relative Performance

- Define Performance = 1/Execution Time
- "X is n time faster than Y"

$$\text{Performance}_\text{X}/\text{Performance}_\text{Y}$$
$$= \text{Execution time}_\text{Y}/\text{Execution time}_\text{X} = n$$

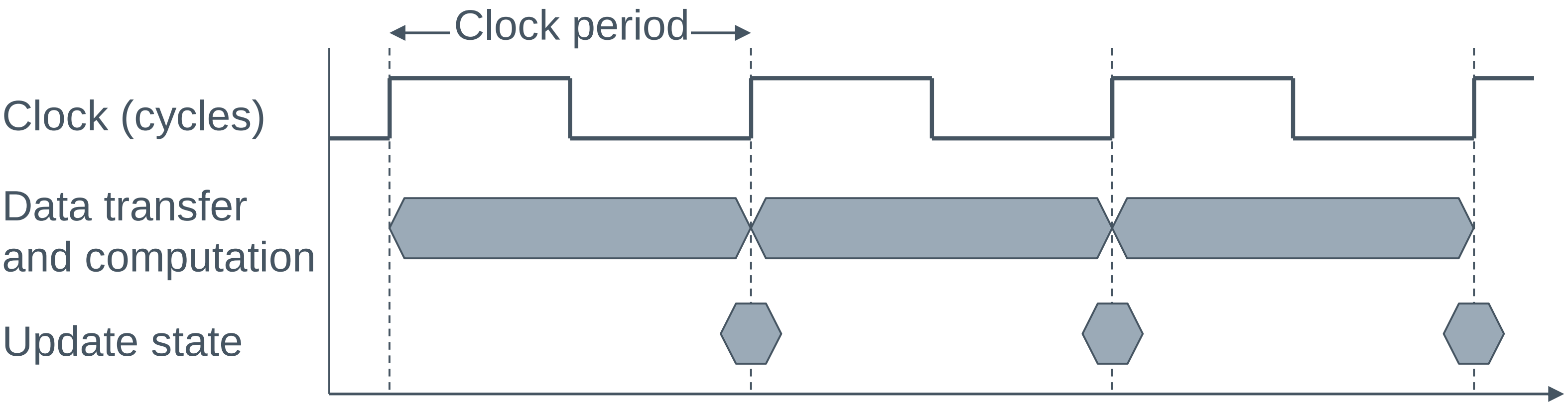
- Example: time taken to run a program
  - 10s on A, 15s on B
  - Execution Time_B / Execution Time_A = 15s / 10s = 1.5
  - So A is 1.5 times faster than B

Measuring Execution Time

- Elapsed time
  - Total response time, including all aspects
    - Processing, I/O, OS overhead, idle time
  - Determines system performance
- CPU time
  - Time spent processing a given job
    - Discounts I/O time, other jobs' shares
  - Comprises user CPU time and system CPU time
  - Different programs are affected differently by CPU and system performance

CPU Clocking

- Operation of digital hardware governed by a constant-rate clock



- Clock period: duration of a clock cycle
  - e.g., 250ps = 0.25ns = 250×10^{-12}s
- Clock frequency (rate): cycles per second
  - e.g., 4.0GHz = 4000MHz = 4.0×10^{9}Hz

CPU Time

$$\text{CPU Time} = \text{CPU Clock Cycles} \times \text{Clock Cycle Time}$$

$$= \frac{\text{CPU Clock Cycles}}{\text{Clock Rate}}$$

- Performance improved by
  - Reducing number of clock cycles
  - Increasing clock rate
  - Hardware designer must often trade off clock rate against cycle count

CPU Time Example

- Computer A: 2GHz clock, 10s CPU time
- Designing Computer B
  - Aim for 6s CPU time
  - Can do faster clock, but causes 1.2 × clock cycles
- How fast must Computer B clock be?

$$\text{Clock Rate}_B = \frac{\text{Clock Cycles}_B}{\text{CPU Time}_B} = \frac{1.2 \times \text{Clock Cycles}_A}{6\text{s}}$$

$$\begin{aligned}\text{Clock Cycles}_A &= \text{CPU Time}_A \times \text{Clock Rate}_A \\ &= 10\text{s} \times 2\text{GHz} = 20 \times 10^9\end{aligned}$$

$$\text{Clock Rate}_B = \frac{1.2 \times 20 \times 10^9}{6\text{s}} = \frac{24 \times 10^9}{6\text{s}} = 4\text{GHz}$$

Instruction Count and CPI

$$\text{Clock Cycles} = \text{Instruction Count} \times \text{Cycles per Instruction}$$

$$\text{CPU Time} = \text{Instruction Count} \times \text{CPI} \times \text{Clock Cycle Time}$$

$$= \frac{\text{Instruction Count} \times \text{CPI}}{\text{Clock Rate}}$$

- Instruction Count for a program
  - Determined by program, ISA and compiler
- Average cycles per instruction
  - Determined by CPU hardware
  - If different instructions have different CPI
    - Average CPI affected by instruction mix

CPI Example

- Computer A: Cycle Time = 250ps, CPI = 2.0
- Computer B: Cycle Time = 500ps, CPI = 1.2
- Same ISA
- Which is faster, and by how much?

$$\begin{aligned}\text{CPU Time}_A &= \text{Instruction Count} \times \text{CPI}_A \times \text{Cycle Time}_A \\ &= I \times 2.0 \times 250\text{ps} = I \times 500\text{ps}\end{aligned}$$

A is faster…

$$\begin{aligned}\text{CPU Time}_B &= \text{Instruction Count} \times \text{CPI}_B \times \text{Cycle Time}_B \\ &= I \times 1.2 \times 500\text{ps} = I \times 600\text{ps}\end{aligned}$$

$$\frac{\text{CPU Time}_B}{\text{CPU Time}_A} = \frac{I \times 600\text{ps}}{I \times 500\text{ps}} = 1.2$$

…by this much

CPI in More Detail

- If different instruction classes take different numbers of cycles

$$\text{Clock Cycles} = \sum_{i=1}^{n} (\text{CPI}_i \times \text{Instruction Count}_i)$$

- Weighted average CPI

$$\text{CPI} = \frac{\text{Clock Cycles}}{\text{Instruction Count}} = \sum_{i=1}^{n} \left(\text{CPI}_i \times \underbrace{\frac{\text{Instruction Count}_i}{\text{Instruction Count}}}_{\text{Relative frequency}} \right)$$

CPI Example

- Alternative compiled code sequences using instructions in classes A, B, C

Class	A	B	C
CPI for class	1	2	3
IC in sequence 1	2	1	2
IC in sequence 2	4	1	1

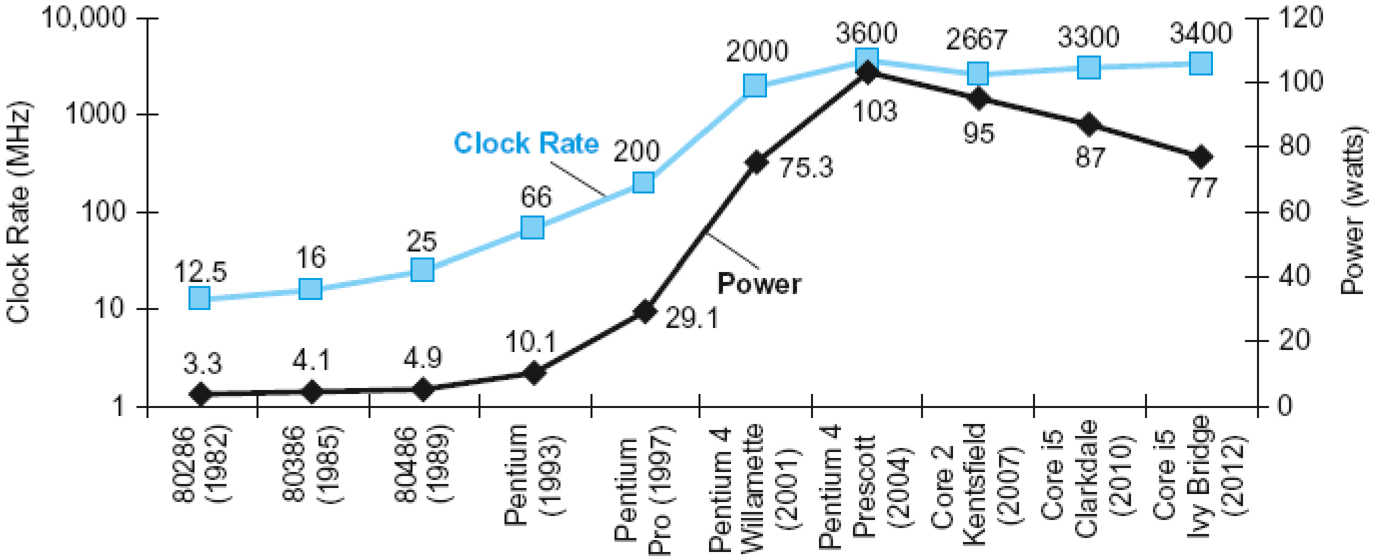
- Sequence 1: IC = 5
  - Clock Cycles
    = 2×1 + 1×2 + 2×3
    = 10
  - Avg. CPI = 10/5 = 2.0
- Sequence 2: IC = 6
  - Clock Cycles
    = 4×1 + 1×2 + 1×3
    = 9
  - Avg. CPI = 9/6 = 1.5

Performance Summary

$$\text{CPU Time} = \frac{\text{Instructions}}{\text{Program}} \times \frac{\text{Clock cycles}}{\text{Instruction}} \times \frac{\text{Seconds}}{\text{Clock cycle}}$$

- Performance depends on
  - Algorithm: affects IC, possibly CPI
  - Programming language: affects IC, CPI
  - Compiler: affects IC, CPI
  - Instruction set architecture: affects IC, CPI, T_c

Power Trends



- In CMOS IC technology

$$\text{Power} = \text{Capacitive load} \times \text{Voltage}^2 \times \text{Frequency}$$

×30

5V → 1V

×1000

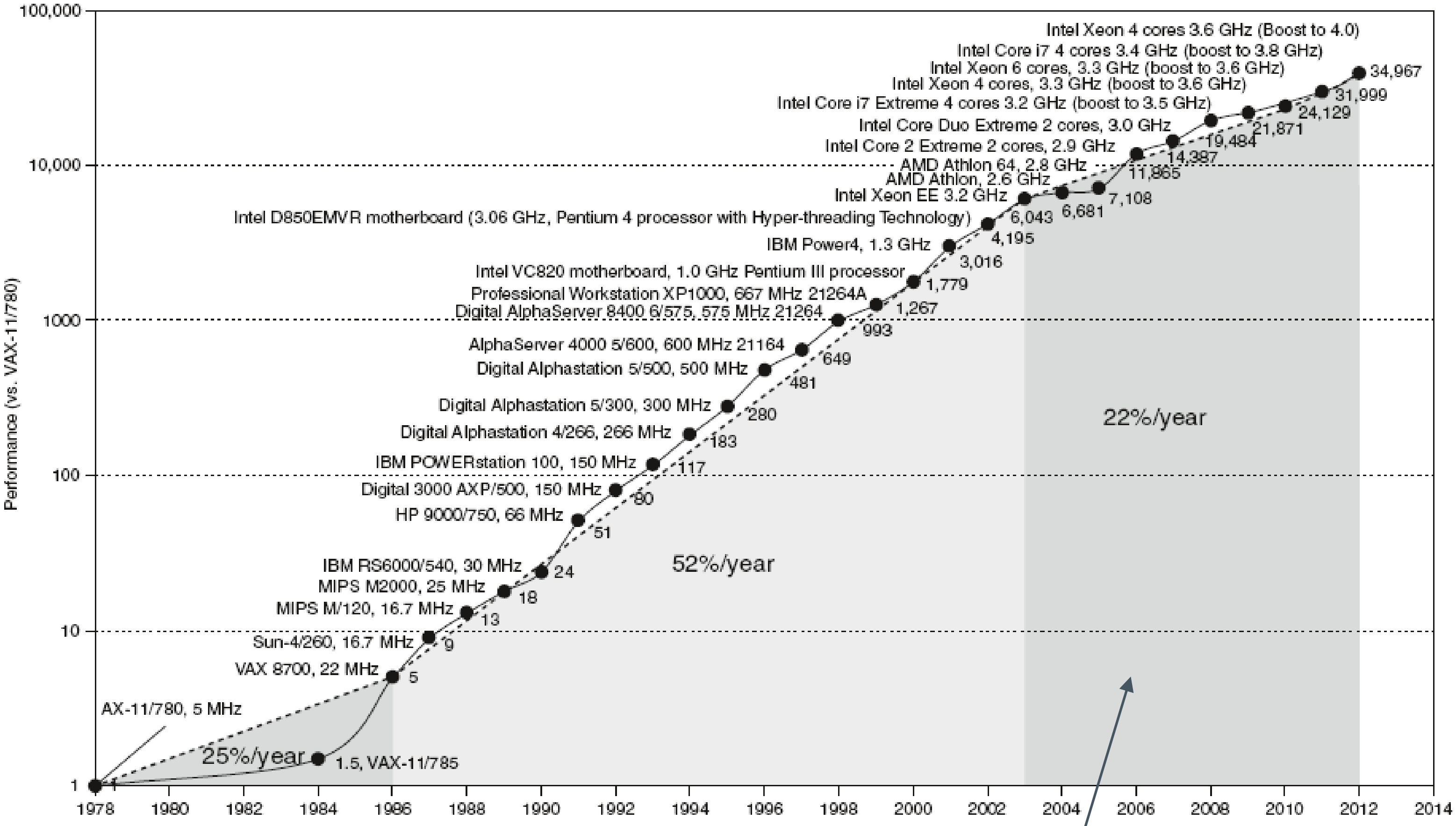
Reducing Power

- Suppose a new CPU has
  - 85% of capacitive load of old CPU
  - 15% voltage and 15% frequency reduction

$$\frac{P_{\mathrm{new}}}{P_{\mathrm{old}}} = \frac{C_{\mathrm{old}} \times 0.85 \times (V_{\mathrm{old}} \times 0.85)^2 \times F_{\mathrm{old}} \times 0.85}{C_{\mathrm{old}} \times V_{\mathrm{old}}^{\;2} \times F_{\mathrm{old}}} = 0.85^4 = 0.52$$

- The power wall
  - We can’t reduce voltage further
  - We can’t remove more heat
- How else can we improve performance?

Uniprocessor Performance

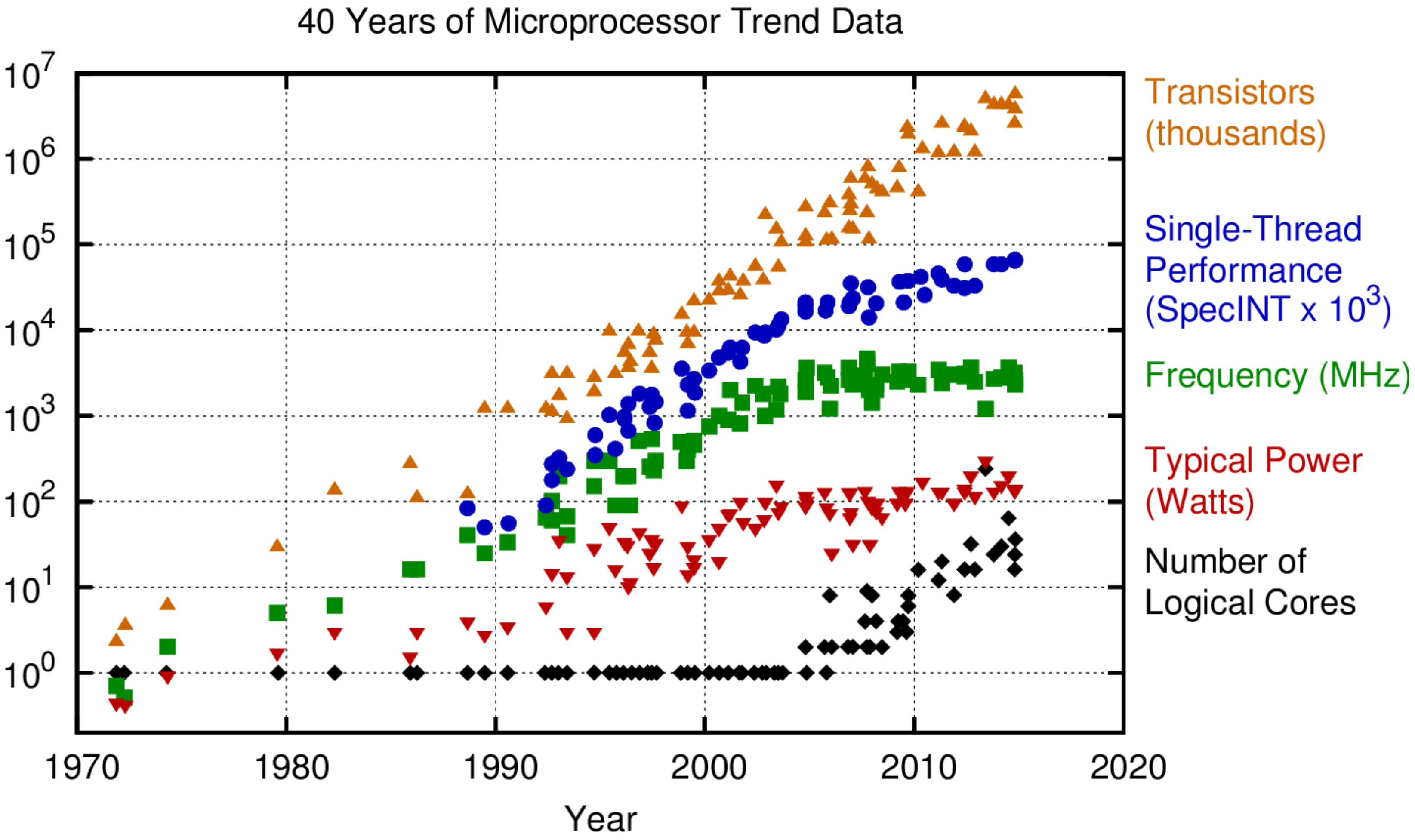


Constrained by power, instruction-level parallelism, memory latency

Multiprocessors

- Multicore microprocessors
  - More than one processor per chip
- Requires explicitly parallel programming
  - Compare with instruction level parallelism
    - Hardware executes multiple instructions at once
    - Hidden from the programmer
  - Hard to do
    - Programming for performance
    - Load balancing
    - Optimizing communication and synchronization

The multicore revolution



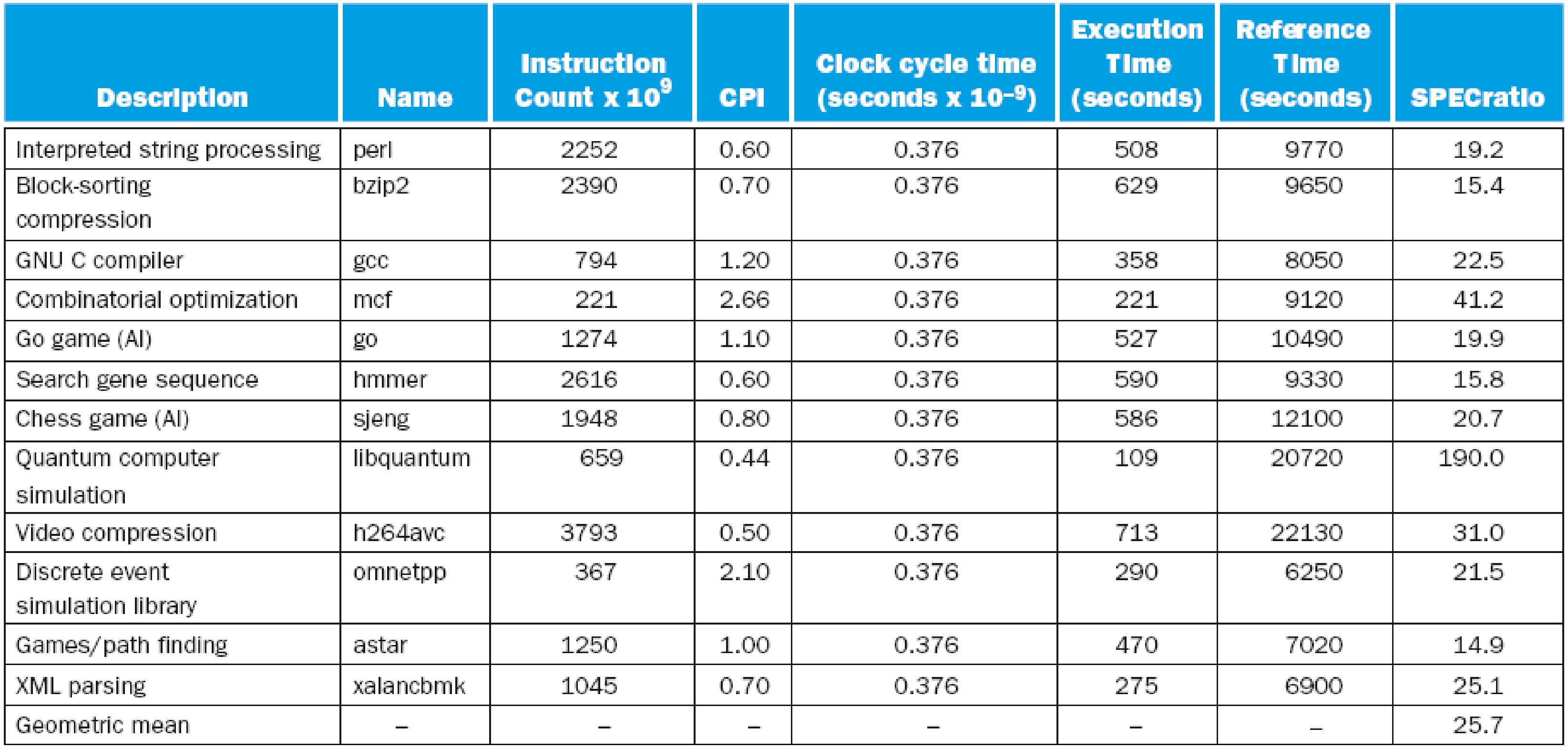
Original data up to the year 2010 collected and plotted by M. Horowitz, F. Labonte, O. Shacham, K. Olukotun, L. Hammond, and C. Batten
New plot and data collected for 2010-2015 by K. Rupp

SPEC CPU Benchmark

- Programs used to measure performance
  - Supposedly typical of actual workload
- Standard Performance Evaluation Corp (SPEC)
  - Develops benchmarks for CPU, I/O, Web, …
- SPEC CPU2006
  - Elapsed time to execute a selection of programs
    - Negligible I/O, so focuses on CPU performance
  - Normalize relative to reference machine
  - Summarize as geometric mean of performance ratios
    - CINT2006 (integer) and CFP2006 (floating-point)

$$\sqrt[n]{\prod_{i=1}^{n} \text{Execution time ratio}_i}$$

CINT2006 for Intel Core i7 920



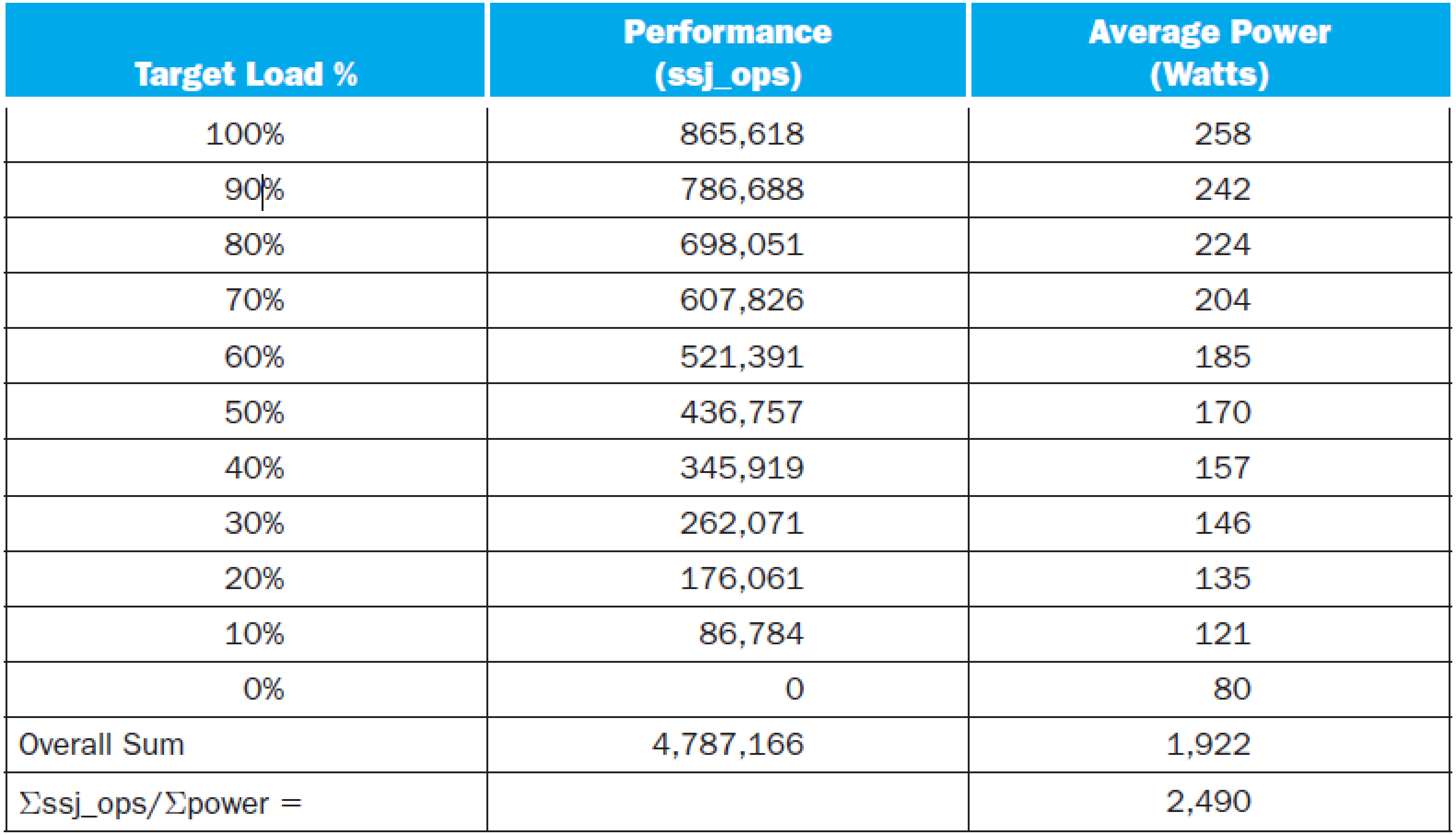
Description	Name	Instruction Count x 10^9	CPI	Clock cycle time (seconds x 10^{-9})	Execution Time (seconds)	Reference Time (seconds)	SPECratio
Interpreted string processing	perl	2252	0.60	0.376	508	9770	19.2
Block-sorting compression	bzip2	2390	0.70	0.376	629	9650	15.4
GNU C compiler	gcc	794	1.20	0.376	358	8050	22.5
Combinatorial optimization	mcf	221	2.66	0.376	221	9120	41.2
Go game (AI)	go	1274	1.10	0.376	527	10490	19.9
Search gene sequence	hmmer	2616	0.60	0.376	590	9330	15.8
Chess game (AI)	sjeng	1948	0.80	0.376	586	12100	20.7
Quantum computer simulation	libquantum	659	0.44	0.376	109	20720	190.0
Video compression	h264avc	3793	0.50	0.376	713	22130	31.0
Discrete event simulation library	omnetpp	367	2.10	0.376	290	6250	21.5
Games/path finding	astar	1250	1.00	0.376	470	7020	14.9
XML parsing	xalancbmk	1045	0.70	0.376	275	6900	25.1
Geometric mean	–	–	–	–	–	–	25.7

SPEC Power Benchmark

- Power consumption of server at different workload levels
  - Performance: ssj_ops/sec
  - Power: Watts (Joules/sec)

$$\text{Overall ssj\_ops per Watt} = \left(\sum_{i=0}^{10} \text{ssj\_ops}_i\right) \Big/ \left(\sum_{i=0}^{10} \text{power}_i\right)$$

SPECpower_ssj2008 for Xeon X5650



Target Load %	Performance (ssj_ops)	Average Power (Watts)
100%	865,618	258
90%	786,688	242
80%	698,051	224
70%	607,826	204
60%	521,391	185
50%	436,757	170
40%	345,919	157
30%	262,071	146
20%	176,061	135
10%	86,784	121
0%	0	80
Overall Sum	4,787,166	1,922
Σssj_ops/Σpower =		2,490

Pitfall: Amdahl's Law

- Improving an aspect of a computer and expecting a proportional improvement in overall performance

$$T_{\text{improved}} = \frac{T_{\text{affected}}}{\text{improvement factor}} + T_{\text{unaffected}}$$

- Example: multiply accounts for 80s/100s
  - How much improvement in multiply performance to get 5× overall?

$$20 = \frac{80}{n} + 20$$

    - Can't be done!

- Corollary: make the common case fast

Fallacy: Low Power at Idle

- Look back at i7 power benchmark
  - At 100% load: 258W
  - At 50% load: 170W (66%)
  - At 10% load: 121W (47%)
- Google data center
  - Mostly operates at 10% – 50% load
  - At 100% load less than 1% of the time
- Consider designing processors to make power proportional to load

Pitfall: MIPS as a Performance Metric

- MIPS: Millions of Instructions Per Second
  - Doesn’t account for
    - Differences in ISAs between computers
    - Differences in complexity between instructions

$$\text{MIPS} = \frac{\text{Instruction count}}{\text{Execution time} \times 10^6}$$

$$= \frac{\text{Instruction count}}{\dfrac{\text{Instruction count} \times \text{CPI}}{\text{Clock rate}} \times 10^6} = \frac{\text{Clock rate}}{\text{CPI} \times 10^6}$$

- CPI varies between programs on a given CPU

Concluding Remarks

- Cost/performance is improving
    - Due to underlying technology development
- Hierarchical layers of abstraction
    - In both hardware and software
- Instruction set architecture
    - The hardware/software interface
- Execution time: the best performance measure
- Power is a limiting factor
    - Use parallelism to improve performance